DINARI SECURITIES, LLC Business Continuity Plan Disclosure

Business Continuity Planning

Dinari Securities, LLC ("Dinari" or the "Firm") has developed a business continuity plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will maintain flexibility in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption, you cannot contact us as you usually do via the Dinari web application, you should call our main office at 650-880-2038 or you should go to our website at dinari.com. If you cannot access us through either of those means, you should contact our clearing firm, Alpaca Securities LLC, for instructions on how it may assist you with the following services: order entry / processing / execution and access to funds and securities.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firm, Alpaca Securities LLC, backs up our important customer records in a geographically separate area. Additionally, certain customer account information is backed up on the Ethereum blockchain. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within 24 hours. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our Firm, a single building housing our Firm, the business district where our Firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In an SBD, we plan to continue in business and notify you through our website www.dinari.com. If the significant business disruption is so severe that it prevents us from remaining in business, we will strive to assure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at us-support@dinari.com or call our office at 650-880-2038.